

**FORM ADV PART 2A
DISCLOSURE BROCHURE**



GREYSTONE
WEALTH MANAGEMENT

Greystone Wealth Management LLC

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This brochure provides information about the qualifications and business practices of Greystone Wealth Management LLC. Being registered as an investment adviser does not imply a certain level of skill or training. If you have any questions about the contents of this brochure, please contact us at 714-843-3332. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission, or by any state securities authority.

**ADDITIONAL INFORMATION ABOUT GREYSTONE WEALTH
MANAGEMENT LLC (CRD #340127) IS AVAILABLE ON THE SEC'S
WEBSITE AT WWW.ADVISERINFO.SEC.GOV**

Item 2: Material Changes

Annual Update

The Material Changes section of this brochure will be updated annually or when material changes occur since the previous release of the Firm Brochure.

Material Changes since the Last Update

Initial Filing.

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Item 4: Advisory Business

Firm Description

Greystone Wealth Management LLC (“GWM”) was founded in 2020 and became registered as an investment advisor in 2026. Jay Alexander is 100% owner and Chief Compliance Officer. Under CCR Section 260.238(k), GWM, its representatives or any of its employees will disclose to Clients all material conflicts of interest.

Types of Advisory Services

ASSET MANAGEMENT

GWM offers discretionary asset management services to advisory Clients. GWM will offer Clients ongoing asset management services through determining individual investment goals, time horizons, objectives and risk tolerance. Investment strategies, investment selection, asset allocation, portfolio monitoring and the overall investment program will be based on the above factors. The Client will authorize GWM discretionary authority to execute selected investment program transactions as stated within the Investment Advisory Agreement.

FINANCIAL PLANNING

Financial planning services are available for any applicable topics that the Client would like reviewed. CCR Section 260.235.2 requires an investment adviser to furnish a written statement to its financial planning Clients indicating:

- i. the Client is under no obligation to act on the investment adviser's or associated person's recommendation.
- ii. if the Client elects to act on any of the recommendations, the Client is under no obligation to effect the transaction through the investment adviser.

Typical topics reviewed include: financial goals, personal financial consulting, investment analysis, retirement strategy, cash flow analysis, risk management, long-term investment and estate preservation. If a conflict of interest exists between the interests of GWM and the interests of the Client, the Client is under no obligation to act upon any recommendation. Implementation of any recommendations will be at the discretion of the Client. If the Client elects to act on any of the recommendations, the Client is under no obligation to affect the transaction through GWM.

SEMINARS AND WORKSHOPS

GWM holds seminars and workshops to provide financial education to the public. The seminars are educational in nature and no specific investment or tax advice is given.

Client Tailored Services and Client Imposed Restrictions

The goals and objectives for each Client are documented in our Client files. Investment strategies are created that reflect the stated goals and objectives. Clients may impose restrictions on investing in certain securities or types of securities. Agreements may not be assigned without written Client consent.

Wrap Fee Programs

GWM does not sponsor any wrap fee programs.

Client Assets under Management

As this is the initial filing, GWM is newly registered and in the process of onboarding Client assets.

Discretionary Amounts:	Non-discretionary Amounts:	Date Calculated:
\$0	\$0	1/23/2026

Item 5: Fees and Compensation**Method of Compensation and Fee Schedule**ASSET MANAGEMENT

GWM charges an annual investment advisory fee based on the total assets under management as follows:

Assets Under Management	Annual Fee
Up to \$2,999,999	1.50%
\$3,000,000 to \$4,999,999	1.25%
\$5,000,000 and Over	1.00%

This is a straight tier fee schedule. The annual fee is negotiable. Pursuant to CCR Section 260.238(j), lower fees for comparable services may be available from other sources. Fees are billed monthly in arrears based on the amount of assets managed as of the close of business on the last business day of the previous month. A Client with \$4,000,000 under management would pay \$50,000 on an annual basis ($\$4,000,000 \times 1.25\% = \$50,000$). GWM may aggregate assets across related accounts for fee calculation purposes at its discretion. Clients may terminate their account within five (5) business days of signing the Investment Advisory Agreement with no obligation and without penalty. After the initial five (5) business days, the agreement may be terminated by GWM with thirty (30) days written notice to Client and by the Client at any time with written notice to GWM. For accounts opened or closed mid-billing period, fees will be prorated based on the days services are provided during the given period. All unpaid earned fees will be due to GWM. Client shall be given thirty (30) days prior written notice of any increase in fees. Any increase in fees will be acknowledged in writing by both parties before any increase in said fees occurs.

FINANCIAL PLANNING

GWM charges a fixed fee between \$500 and \$5,000 dependent upon the complexity of the Client's specific situation. Pursuant to CCR Section 260.238(j), lower fees for comparable services may be available from other sources. Fees for financial planning are due in 50% advance with balance due upon plan delivery. Services are completed and delivered inside of forty-five (45) days contingent upon timely Client delivery of all required documentation. Client may cancel within five (5) business days of signing Agreement with no obligation and without penalty. If Client cancels after five (5) business days, any unpaid earned fees will be due to GWM or any unearned fees will be refunded to the Client based on the percentage of work completed by GWM. The amount of the refund to the Client or the unpaid earned fees due to GWM will be based on the percentage of the financial plan completed by GWM at the time of cancelation. For example, a \$1,000 financial plan; \$500 paid upfront; 40% of plan completed at time of cancelation ($\$500 - (\$1000 \times .40) = \$100$ refund). Or, a \$1,000 financial plan; \$500 paid upfront; 60% of plan completed at time of

cancellation (($\$1000 \times .60$) - $\$500 = \100 of unpaid earned fees). Refunds will be delivered via check or credited back to the Client's account.

SEMINARS AND WORKSHOPS

GWM doesn't charge any fees to attend their seminars and workshops.

Client Payment of Fees

Fees for asset management services are deducted from a designated Client account to facilitate billing unless Client requests to be billed directly. The Client must consent in advance to direct debiting of their investment account.

Fees for financial plans will be billed to the Client and paid directly to GWM. Clients can choose to pay for financial planning via the following methods:

- Check – to be remitted by Client to GWM
- Deducted from a non-qualified account managed by GWM
- Electronic Payment via Credit Card

Credit card payments are processed through a third-party payment processor. Clients may incur processing or convenience fees imposed by the provider.

Additional Client Fees Charged

Custodians may charge transaction fees and other related costs on the purchases or sales of mutual funds, equities, bonds and exchange-traded funds. Mutual funds, money market funds and exchange-traded funds also charge internal management fees, which are disclosed in the fund's prospectus. GWM does not receive any compensation from these fees. All of these fees are in addition to the management fee you pay to GWM. For more details on the brokerage practices, see Item 12 of this brochure.

Prepayment of Client Fees

Financial planning fees are billed in 50% advance.

If the Client cancels after five (5) business days, any unpaid earned fees will be due to GWM or any unearned fees will be refunded to the Client based on the percentage of the plan completed by GWM. Refunds will be sent to the Client within 30 days of the cancellation request.

External Compensation for the Sale of Securities to Clients

Investment Advisor Representatives of GWM receive external compensation from sales of insurance products. This represents a conflict of interest because it gives an incentive to recommend products based on the commission received. This conflict is mitigated by disclosures, procedures and GWM's fiduciary obligation to place the best interest of the Client first and Clients are not required to purchase any products or services. Clients have the option to purchase these products through another insurance agent of their choosing.

Item 6: Performance-Based Fees and Side-by-Side Management

Sharing of Capital Gains

Fees are not based on a share of the capital gains or capital appreciation of managed securities.

Item 7: Types of Clients

Description

GWM generally provides investment advice to individuals, high net worth individuals, trusts, small businesses or estates.

Client relationships vary in scope and length of service.

Account Minimums

GWM requires a minimum of \$250,000 to open an account. The minimum account size may be lowered or waived at the discretion of GWM.

Item 8: Methods of Analysis, Investment Strategies and Risk of Loss

Methods of Analysis

Security analysis methods may include fundamental analysis, modern portfolio theory and Monte Carlo planning. Investing in securities involves risk of loss that Clients should be prepared to bear. Past performance is not a guarantee of future returns.

Fundamental analysis concentrates on factors that determine a company's value and expected future earnings. This strategy would normally encourage equity purchases in stocks that are undervalued or priced below their perceived value. The risk assumed is that the market will fail to reach expectations of perceived value.

Modern portfolio theory is the theory of finance that attempts to maximize portfolio expected return for a given amount of portfolio risk, or equivalently minimize risk for a given level of expected return, by carefully diversifying the proportions of various assets. The risk with modern portfolio theory is that market risk is common to all securities and cannot be eliminated by diversification and allocation.

Monte Carlo planning technique is used to calculate the percentage probability of specific scenarios that are based upon a set group of assumptions and standard deviations. This method of calculation has often been used in investment and retirement planning to project the likelihood of achieving one's financial or retirement goals and whether or not a retiree will have enough income to live on for life, given a wide range of possible outcomes in the markets. While there are no absolute parameters for this type of projection, the underlying assumptions for these calculations typically include such factors as interest rates, the Client's age and projected time to retirement, the amount of the investment portfolio that is spent or withdrawn each year and the portfolio allocation. The risk associated with Monte Carlo planning is that it can't account for bear markets, recession or any other kind of financial crisis that might impact potential results.

Investment Strategy

The investment strategy for a specific Client is based upon the objectives stated by the Client during consultations. The Client may change these objectives at any time by providing written notice to GWM. Each Client executes a Client profile form or similar form that documents their objectives and their desired investment strategy.

Security Specific Material Risks

All investment programs have certain risks that are borne by the investor. Our investment approach constantly keeps the risk of loss in mind. Investors face the following investment risks and should discuss these risks with GWM:

- *Market Risk:* The prices of securities in which Clients invest may decline in response to certain events taking place around the world, including those directly involving the companies whose securities are owned by a fund; conditions affecting the general economy; overall market changes; local, regional or global political, social or economic instability; and currency, interest rate and commodity price fluctuations. Investors should have a long-term perspective and be able to tolerate potentially sharp declines in market value.
- *Interest-rate Risk:* Fluctuations in interest rates may cause investment prices to fluctuate. For example, when interest rates rise, yields on existing bonds become less attractive, causing their market values to decline.
- *Inflation Risk:* When any type of inflation is present, a dollar today will buy more than a dollar next year, because purchasing power is eroding at the rate of inflation.
- *Reinvestment Risk:* This is the risk that future proceeds from investments may have to be reinvested at a potentially lower rate of return (i.e. interest rate). This primarily relates to fixed income securities.
- *Management Risk:* The advisor's investment approach may fail to produce the intended results. If the advisor's assumptions regarding the performance of a specific asset class or fund are not realized in the expected time frame, the overall performance of the Client's portfolio may suffer.
- *Equity Risk:* Equity securities tend to be more volatile than other investment choices. The value of an individual mutual fund or ETF can be more volatile than the market as a whole. This volatility affects the value of the Client's overall portfolio. Small- and mid-cap companies are subject to additional risks. Smaller companies may experience greater volatility, higher failure rates, more limited markets, product lines, financial resources, and less management experience than larger companies. Smaller companies may also have a lower trading volume, which may disproportionately affect their market price, tending to make them fall more in response to selling pressure than is the case with larger companies.
- *Fixed Income Risk:* The issuer of a fixed income security may not be able to make interest and principal payments when due. Generally, the lower the credit rating of a security, the greater the risk that the issuer will default on its obligation. If a rating agency gives a debt security a lower rating, the value of the debt security will decline because investors will demand a higher rate of return. As nominal interest rates rise, the value of fixed income securities held by a fund is likely to decrease. A nominal interest rate is the sum of a real interest rate and an expected inflation rate.
- *Investment Companies Risk:* When a Client invests in open end mutual funds or ETFs, the Client indirectly bears their proportionate share of any fees and expenses payable directly by those funds. Therefore, the Client will incur higher expenses,

which may be duplicative. In addition, the Client's overall portfolio may be affected by losses of an underlying fund and the level of risk arising from the investment practices of an underlying fund (such as the use of derivatives). ETFs are also subject to the following risks: (i) an ETF's shares may trade at a market price that is above or below their net asset value or (ii) trading of an ETF's shares may be halted if the listing exchange's officials deem such action appropriate, the shares are delisted from the exchange, or the activation of market-wide "circuit breakers" (which are tied to large decreases in stock prices) halts stock trading generally. Adviser has no control over the risks taken by the underlying funds in which Client invests.

Item 9: Disciplinary Information

Criminal or Civil Actions

GWM and its management have not been involved in any criminal or civil action.

Administrative Enforcement Proceedings

GWM and its management have not been involved in administrative enforcement proceedings.

Self-Regulatory Organization Enforcement Proceedings

Pursuant to FINRA Rule 9552 and in accordance with FINRA's Notice of Suspension letter dated September 7, 2017, Mr. Alexander was suspended on October 2, 2017, from associating with any FINRA member firm in all capacities. If Mr. Alexander failed to request termination of the suspension within three months of the date of the Notice of Suspension, he would have been automatically barred on December 11, 2017, from association with any FINRA member in all capacities pursuant to FINRA Rule 9552(h). Suspension was lifted on November 24, 2017. The matter was administrative in nature, was resolved in 2017, and has not resulted in any subsequent disciplinary events.

Item 10: Other Financial Industry Activities and Affiliations

Broker-Dealer or Representative Registration

GWM is not registered as a broker-dealer and no affiliated representatives of GWM are registered representatives of a broker-dealer.

Futures or Commodity Registration

Neither GWM nor its affiliated representatives are registered or have an application pending to register as a futures commission merchant, commodity pool operator, or a commodity trading advisor.

Material Relationships Maintained by this Advisory Business and Conflicts of Interest

Mr. Alexander is a licensed insurance agent with Greystone Wealth Advisors LLC. Approximately 40% of his time is spent on these activities. He will offer Clients insurance products and receive separate compensation.

This practice represents a conflict of interest because it gives an incentive to recommend products and services based on the compensation amount received. This conflict is mitigated by disclosures, procedures and the GWM's fiduciary obligation to place the best interest of the Client first and the Clients are not required to purchase any products or

services. Clients have the option to purchase these products through another insurance agent of their choosing.

Mr. Alexander will be maintaining a temporary dual registration with AE Wealth Management, LLC solely for the administrative purpose of transitioning existing Client relationships. No new advisory business will be conducted through AE Wealth Management, LLC during this period. The dual registration is expected to terminate upon completion of Client transitions.

Recommendations or Selections of Other Investment Advisors and Conflicts of Interest

GWM does not select or recommend other investment advisors.

Item 11: Code of Ethics, Participation or Interest in Client Transactions and Personal Trading

Code of Ethics Description

The affiliated persons (affiliated persons include employees and/or independent contractors) of GWM have committed to a Code of Ethics (“Code”). The purpose of our Code is to set forth standards of conduct expected of GWM affiliated persons and addresses conflicts that may arise. The Code defines acceptable behavior for affiliated persons of GWM. The Code reflects GWM and its supervised persons’ responsibility to act in the best interest of their Client.

One area which the Code addresses is when affiliated persons buy or sell securities for their personal accounts and how to mitigate any conflict of interest with our Clients. We do not allow any affiliated persons to use non-public material information for their personal profit or to use internal research for their personal benefit in conflict with the benefit to our Clients.

GWM’s policy prohibits any person from acting upon or otherwise misusing non-public or inside information. No advisory representative or other employee, officer or director of GWM may recommend any transaction in a security or its derivative to advisory Clients or engage in personal securities transactions for a security or its derivatives if the advisory representative possesses material, non-public information regarding the security.

GWM’s Code is based on the guiding principle that the interests of the Client are our top priority. GWM’s officers, directors, advisors, and other affiliated persons have a fiduciary duty to our Clients and must diligently perform that duty to maintain the complete trust and confidence of our Clients. When a conflict arises, it is our obligation to put the Client’s interests over the interests of either affiliated persons or the company.

The Code applies to “access” persons. “Access” persons are affiliated persons who have access to non-public information regarding any Clients' purchase or sale of securities, or non-public information regarding the portfolio holdings of any reportable fund, who are involved in making securities recommendations to Clients, or who have access to such recommendations that are non-public.

GWM will provide a copy of the Code of Ethics to any Client or prospective Client upon request.

Investment Recommendations Involving a Material Financial Interest and Conflict of Interest

GWM and its affiliated persons do not recommend to Clients securities in which we have a material financial interest.

Advisory Firm Purchase of Same Securities Recommended to Clients and Conflicts of Interest

GWM and its affiliated persons may buy or sell securities that are also held by Clients. In order to mitigate conflicts of interest such as trading ahead of Client transactions, affiliated persons are required to disclose all reportable securities transactions as well as provide GWM with copies of their brokerage statements.

The Chief Compliance Officer of GWM is Jay Alexander. He reviews all trades of the affiliated persons each quarter. The personal trading reviews ensure that the personal trading of affiliated persons does not front run or disadvantage trading for Clients.

Client Securities Recommendations or Trades and Concurrent Advisory Firm Securities Transactions and Conflicts of Interest

GWM does not have a material financial interest in any securities being recommended. However, affiliated persons may buy or sell securities at the same time they buy or sell securities for Clients. In order to mitigate conflicts of interest such as front running, affiliated persons are required to disclose all reportable securities transactions as well as provide GWM with copies of their brokerage statements.

The Chief Compliance Officer of GWM is Jay Alexander. He reviews all trades of the affiliated persons each quarter. The personal trading reviews ensure that the personal trading of affiliated persons does not front run or disadvantage trading for Clients.

Item 12: Brokerage Practices

Factors Used to Select Broker-Dealers for Client Transactions

GWM recommends that Clients establish brokerage accounts with the Schwab Advisor Services division of Charles Schwab & Co., Inc. ("Schwab"), a FINRA registered broker-dealer and SIPC member, to maintain custody of Clients' assets and to effect trades for their accounts. GWM is independently owned and operated and not affiliated with Schwab. GWM has evaluated Schwab and believes that it will provide our Clients with a blend of execution services, commission costs and professionalism that will assist our firm in meeting our fiduciary obligations to Clients.

Schwab provides GWM with access to its institutional trading and custody services, which are typically not available to Schwab retail investors. These services are not contingent upon our firm committing to Schwab any specific amount of business (assets in custody or trading commissions). Schwab's brokerage services include the execution of securities transactions, custody, research, and access to mutual funds and other investments that are otherwise generally available only to institutional investors or would require a significantly higher minimum initial investment.

For our Client accounts maintained in its custody, Schwab generally does not charge separately for custody services but is compensated by account holders through

commissions and other transaction-related or asset-based fees for securities trades that are executed through Schwab or that settle into Schwab accounts.

Schwab also makes available to GWM other products and services that benefit GWM but may not directly benefit our Clients' accounts. Many of these products and services may be used to service all or some substantial number of our Client accounts, including accounts not maintained at Schwab.

Schwab's products and services that assist GWM in managing and administering our Clients' accounts include software and other technology that:

- provide access to Client account data (such as trade confirmations and account statements);
- facilitate trade execution and allocate aggregated trade orders for multiple Client accounts;
- provide research, pricing and other market data;
- facilitate payment of our fees from Clients' accounts; and
- assist with back-office functions, recordkeeping and Client reporting.

Schwab also offers other services intended to help us manage and further develop our business enterprise. These services may include:

- compliance, legal and business consulting;
- publications and conferences on practice management and business succession; and
- access to employee benefits providers, human capital consultants and insurance providers.

Schwab may make available, arrange and/or pay third-party vendors for the types of services rendered to GWM. Schwab may discount or waive fees it would otherwise charge for some of these services or pay all or a part of the fees of a third-party providing these services to our firm. Schwab may also provide other benefits such as educational events or occasional business entertainment of our personnel. In evaluating whether to recommend or require that Clients custody their assets at Schwab, we may take into account the availability of some of the foregoing products and services and other arrangements as part of the total mix of factors we consider and not solely on the nature, cost or quality of custody and brokerage services provided by Schwab, which creates a conflict of interest. These arrangements present a potential conflict of interest, which GWM mitigates through its fiduciary obligation to seek best execution for Clients.

- *Research and Other Soft Dollar Benefits*
The Securities and Exchange Commission defines soft dollar practices as arrangement under which products or services other than execution services are obtained by GWM from or through a broker-dealer in exchange for directing Client transactions to the broker-dealer. Although GWM has no formal soft dollar arrangements, GWM may receive products, research and/or other services from custodians or broker-dealers connected to Client transactions or "soft dollar benefits". As permitted by Section 28(e) of the Securities Exchange Act of 1934, GWM receives economic benefits as a result of commissions generated from securities transactions by the custodian or broker-dealer from the accounts of GWM. GWM receives a benefit because we do not have to produce or pay for the research products, or services.

A conflict of interest exists because GWM receives soft dollars which could result in higher commissions charged to Clients. This conflict is mitigated by the fact that GWM has a fiduciary responsibility to act in the best interest of its Clients and the services received are beneficial to all Clients.

- *Brokerage for Client Referrals*
GWM does not receive Client referrals from any broker-dealer in exchange for using that broker-dealer.
- *Directed Brokerage*
Clients who direct brokerage outside our recommendation may be unable to achieve the most favorable execution of Client transactions as Client directed brokerage may cost Clients more money. For example, in a directed brokerage account, you may pay higher brokerage commissions because we may not be able to aggregate orders to reduce transaction costs, or you may receive less favorable prices.

Aggregating Securities Transactions for Client Accounts

GWM is authorized in its discretion to aggregate purchases and sales and other transactions made for the account with purchases and sales and transactions in the same securities for other Clients of GWM. All Clients participating in the aggregated order shall receive an average share price with all other transaction costs shared on a pro-rated basis. If aggregation is not allowed or infeasible and individual transactions occur (e.g., withdrawal or liquidation requests, odd-lot trades, etc.) an account may potentially be assessed higher costs or less favorable prices than those where aggregation has occurred.

Item 13: Review of Accounts

Schedule for Periodic Review of Client Accounts or Financial Plans and Advisory

Persons Involved

Account reviews are performed quarterly by the Chief Compliance Officer of GWM, Jay Alexander. Account reviews are performed more frequently when market conditions dictate. Reviews of Client accounts include, but are not limited to, a review of Client documented risk tolerance, adherence to account objectives, investment time horizon, suitability criteria and reviewing target allocations of each asset class to identify if there is an opportunity for rebalancing.

Financial plans generated are updated as requested by the Client and pursuant to a new or amended agreement.

Review of Client Accounts on Non-Periodic Basis

Other conditions that may trigger a review of Clients' accounts are changes in the tax laws, new investment information, and changes in a Client's own situation.

Content of Client Provided Reports and Frequency

Clients receive written account statements no less than monthly for managed accounts. Account statements are issued by the Client's custodian. Client receives confirmations of each transaction in account from custodian and a statement during any month in which a transaction occurs.

Item 14: Client Referrals and Other Compensation

Economic Benefits Provided to the Advisory Firm from External Sources and Conflicts of Interest

GWM does not receive any economic benefits from external sources.

Advisory Firm Payments for Client Referrals

GWM does not compensate for Client referrals.

Item 15: Custody

Account Statements

All assets are held at qualified custodians, which means the custodians provide account statements directly to Clients at their address of record at least quarterly. Clients are urged to carefully review the account statements received directly from their custodians.

GWM is deemed to have limited custody solely because advisory fees are directly deducted from Client's accounts by the custodian on behalf of GWM. Pursuant to CCR Section 260.237(b)(3):

- The investment adviser has custody of the funds and securities solely as a consequence of its authority to make withdrawals from Client accounts to pay its advisory fee.
- The investment adviser has written authorization from the Client to deduct advisory fees from the account held with the qualified custodian.
- Each time a fee is directly deducted from a Client account, the investment adviser concurrently:
 - i. Sends the qualified custodian an invoice or statement of the amount of the fee to be deducted from the Client's account; and
 - ii. Sends the Client an invoice or statement itemizing the fee. Itemization includes the formula used to calculate the fee, the value of the assets under management on which the fee is based, and the time period covered by the fee.
- The investment adviser notifies the Commissioner in writing that the investment adviser intends to use the safeguards provided in this paragraph (b)(3). Such notification is required to be given on Form ADV.

Item 16: Investment Discretion

Discretionary Authority for Trading

GWM requires discretionary authority to manage securities accounts on behalf of Clients. GWM has the authority to determine, without obtaining specific Client consent, the securities to be bought or sold, and the amount of the securities to be bought or sold. The Client will authorize GWM discretionary authority to execute selected investment program transactions as stated within the Investment Advisory Agreement.

GWM allows Clients to place restrictions on their discretionary authority, as outlined in the Client's Investment Advisory Agreement or other documentation. These restrictions must be provided to GWM in writing.

Item 17: Voting Client Securities

Proxy Votes

GWM does not vote proxies on securities. Clients are expected to vote their own proxies. The Client will receive their proxies directly from the custodian of their account or from a transfer agent.

If the Client requires assistance or has questions, they can reach out to the investment advisor representatives of the firm at the contact information on the cover page of this document.

Item 18: Financial Information

Balance Sheet

A balance sheet is not required to be provided to Clients because GWM does not serve as a custodian for Client funds or securities and GWM does not require prepayment of fees of more than \$500 per Client and six months or more in advance.

Financial Conditions Reasonably Likely to Impair Advisory Firm's Ability to Meet Commitments to Clients

GWM has no condition that is reasonably likely to impair our ability to meet contractual commitments to our Clients.

Bankruptcy Petitions during the Past Ten Years

GWM has not had any bankruptcy petitions in the last ten years.

Item 19: Requirements for State Registered Advisors

Principal Executive Officers and Management Persons

The education and business background for all management and supervised persons can be found in the Part 2B of this Brochure.

Outside Business Activities

The outside business activities for all management and supervised persons can be found in the Part 2B of this Brochure.

Performance Based Fee Description

Neither GWM nor its management receive performance based fees. Please see Item 6 of the ADV 2A for more information.

Disclosure of Material Facts Related to Arbitration or Disciplinary Actions Involving Management Persons

Neither GWM nor its management have been involved in any of the following:

1. An award or otherwise been found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) An investment or an investment-related business or activity;
 - b) Fraud, false statement(s) or omissions;
 - c) Theft, embezzlement or other wrongful taking of property;
 - d) Bribery, forgery, counterfeiting, or extortion;
 - e) Dishonest, unfair or unethical practices.

2. An award or otherwise been found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a) An investment or an investment-related business or activity;
 - b) Fraud, false statement(s) or omissions;
 - c) Theft, embezzlement or other wrongful taking of property;
 - d) Bribery, forgery, counterfeiting, or extortion;
 - e) Dishonest, unfair or unethical practices.

Material Relationship Maintained by this Advisory Business or Management persons with Issuers of Securities

GWM and its management don't have any relationships with issuers of securities.

Material Conflicts of Interest Assurance

All material conflicts of interest regarding GWM, its representatives or any of its employees which could be reasonably expected to impair the rendering of unbiased and objective advice are disclosed as required under CCR Section 260.238(k).

Item 1 Cover Page

SUPERVISED PERSON BROCHURE
FORM ADV PART 2B

Jay Alexander



GREYSTONE
WEALTH MANAGEMENT

Greystone Wealth Management LLC

Mailing Address:

17011 Beach Blvd, Suite 900
Huntington Beach, CA 92647

Tel: 714-843-3332

Email: jalexander@greystonewm.com

Website: www.greystonewm.com

January 23, 2026

This brochure supplement provides information about Jay Alexander and supplements the Greystone Wealth Management LLC brochure. You should have received a copy of that brochure. Please contact Jay Alexander if you did not receive the brochure or if you have any questions about the contents of this supplement.

ADDITIONAL INFORMATION ABOUT JAY ALEXANDER (CRD #5309647) IS AVAILABLE ON THE SEC'S WEBSITE AT WWW.ADVISERINFO.SEC.GOV.

Brochure Supplement (Part 2B of Form ADV) Supervised Person Brochure

Principal Executive Officer – Jay Alexander

- Year of birth: 1986
-

Item 2 - Educational Background and Business Experience

Educational Background:

- Currently enrolled at Arizona State University pursuing coursework in finance
- Holds the Accredited Asset Management Specialist (AAMS) designation granted by the College of Financial Planning.

Business Experience:

- Greystone Wealth Management LLC; Managing Member/CCO/Investment Advisor Representative; 01/2026 – Present
- Greystone Wealth Advisors LLC; Owner/Insurance Agent; 06/2017 – Present
- AE Wealth Management, LLC; Investment Advisor Representative; 10/2023 – Present
- Stronghold Capital Partners LLC; Investment Advisor Representative; 08/2022 – 10/2023
- Charles Schwab & Co., Inc.; Investment Advisor Representative; 03/2015 – 07/2017
- Charles Schwab & Co., Inc.; Registered Representative; 07/2014 – 07/2017

Professional Certifications

Accredited Asset Management SpecialistSM (AAMS[®]) Accredited Asset Management Specialist is a designation granted by the College of Financial Planning. AAMS[®] requirements:

- Individuals who hold the AAMS[®] designation have completed a course of study encompassing investments, insurance, tax, retirement, and estate planning issues. Additionally, individuals must pass an end-of-course examination that tests their ability to synthesize complex concepts and apply theoretical concepts to real-life situations.
 - All designees have agreed to adhere to Standards of Professional Conduct and are subject to a disciplinary process.
 - Designees renew their designation every two years by completing 16 hours of continuing education, reaffirming adherence to the Standards of Professional Conduct and complying with self-disclosure requirements.
-

Item 3 - Disciplinary Information

Criminal or Civil Action: None to report.

Administrative Proceeding: None to report.

Self-Regulatory Proceeding: Pursuant to FINRA Rule 9552 and in accordance with FINRA's Notice of Suspension letter dated September 7, 2017, Mr. Alexander was suspended on October 2, 2017, from associating with any FINRA member firm in all capacities. If Mr. Alexander failed to request termination of the suspension within three months of the date of the Notice of Suspension, he would have been automatically barred on December 11,

2017, from association with any FINRA member in all capacities pursuant to FINRA Rule 9552(h). Suspension was lifted on November 24, 2017. The matter was administrative in nature, was resolved in 2017, and has not resulted in any subsequent disciplinary events.

Item 4 - Other Business Activities

Mr. Alexander is a licensed insurance agent with Greystone Wealth Advisors LLC. Approximately 40% of his time is spent on these activities. He will offer Clients insurance products and receive separate compensation.

This practice represents a conflict of interest because it gives an incentive to recommend products and services based on the compensation amount received. This conflict is mitigated by disclosures, procedures and the GWM's fiduciary obligation to place the best interest of the Client first and the Clients are not required to purchase any products or services. Clients have the option to purchase these products through another insurance agent of their choosing.

Mr. Alexander will be maintaining a temporary dual registration with AE Wealth Management, LLC solely for the administrative purpose of transitioning existing Client relationships. No new advisory business will be conducted through AE Wealth Management, LLC during this period. The dual registration is expected to terminate upon completion of Client transitions..

Item 5 - Additional Compensation

Mr. Alexander receives additional compensation as an insurance agent. He does not receive any performance-based fees and does not receive any additional compensation for performing advisory services other than what is disclosed in Item 5 of Part 2A.

Item 6 - Supervision

Mr. Alexander is the Chief Compliance Officer of GWM and is solely responsible for all supervision, formulation and monitoring of investment advice offered to Clients. He will adhere to the policies and procedures as described in the firm's Compliance Manual. He can be reached at jaxander@greystonewm.com or 714-843-3332.

Item 7 - Requirements for State-Registered Advisors

Arbitration Claims: None to report.

Self-Regulatory Organization or Administrative Proceeding Pursuant to FINRA Rule 9552 and in accordance with FINRA's Notice of Suspension letter dated September 7, 2017, Mr. Alexander was suspended on October 2, 2017, from associating with any FINRA member firm in all capacities. If Mr. Alexander failed to request termination of the suspension within three months of the date of the Notice of Suspension, he would have been automatically barred on December 11, 2017, from association with any FINRA member in all capacities pursuant to FINRA Rule 9552(h). Suspension was lifted on November 24, 2017. The matter was administrative in nature, was resolved in 2017, and has not resulted in any subsequent disciplinary events.

Bankruptcy Petition: None to report.